Attorney Docket No.: APMED-001A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Apparition Media, LLC |) | |
|--------------|--|-------------|-------------------|
| Serial No.: | 10/827,010 |) | Art Unit: Unknown |
| Filing Date: | April 19, 2004 |) | Examiner: Unknown |
| For: | Optical Disc Having Lenticular Surface and Method of Manufacturing |))) | |

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. SECTION 1.97

Mail Stop DD Assistant Commissioner for Patents Post Office Box 1450 Alexandria, Virginia 22313-1450

Dear Sir/Madam:

Pursuant to 37 C.F.R. § 1.97, the following Information Disclosure Statement is submitted as listed on forms PTO/SB/08A for U.S. Patent Documents and PTO/SB/08B for Non Patent Literature Documents enclosed herewith in duplicate. Copies of all Non Patent Literature disclosure documents are attached hereto for the Examiner's review.

No representation is made that the references disclosed herein legally constitute prior art, or that more relevant references are not available. The disclosure documents enclosed herewith and listed on the attached form PTO/SB/08B are printed in the English language and/or accompanied by an Abstract published in the English language.

The references listed herein, when taken alone or in combination are not believed to disclose nor make obvious the invention as claimed in the subject application.

As this Information Disclosure Statement is being submitted before the stipulated three months from the filing date of the application and/or before the mailing of a first Office Action, it is believed that no fee is required.

If a fee is required, please charge Account Number 19-4330.

Respectfully submitted,

Dated: 5/26/04

Customer No. 007663

William J. Brucker

Registration No. 35,462

STETINA BRUNDA GARRED & BRUCKER

75 Enterprise, Suite 250 Aliso Viejo, CA 92656

(949) 855-1246

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number | 10/827,010 |
|------------------------|------------------------|
| Filing Date | April 19, 2004 |
| First Named Inventor | Kent Christian Johnson |
| Group Art Unit | unknown |
| Examiner Name | unknown |
| Attorney Docket Number | APMED-001A |

| <u> </u> | ENCLOSURES (check | all that apply) | |
|---|---|--|--|
| Fee Transmittal Form | Assignment Papers (for an Application) | After Allowance Communication to Group | |
| Fee Attached | Drawing(s) | Appeal Communication to Board of Appeals and Interferences | |
| Amendment / Reply | Licensing-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| After Final | Petition | Proprietary Information | |
| Affidavits/declaration(s) | Petition to Convert to a Provisional Application | Status Letter | |
| Extension of Time Request | Power of Attorney, Revocation Change of Correspondence Address | X Other Enclosure(s) (please identify below): | |
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| X Information Disclosure Statement | CD, Number of CD(s) | | |
| Certified Copy of Priority Document(s) | Remarks Transmittal, IDS Pursuant to 37 CFR Section 1.97; 1 | | |
| Response to Missing Parts/ Incomplete Application | PTO/SB/08B Form (1-Non Patent Lit. Document OF 23 pages); PTO/SB/08A Form-submitted in duplicate; Certificate of Mailing; Return Postcard | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | r orm submitted in dupricule, Cortined | o or manning, moralin i obtoure | |
| SIGNATU | IRE OF APPLICANT, ATTORNEY, OR | AGENT | |
| Firm WILLIAM J. B Individual name STETINA BRU | RUCKER INDA GARRED & BRUCKER | | |
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| Signature | Pomp. Hall | Date 5/26/2004 |

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ATTÖRNEY: William J. Brucker

TITLE: Optical Disc Having Lenticular Surface and Method of Manufacturing

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- 5. Non Patent Prior Art References of (23) pages;
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PTO/SB/08a (08-03)
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Complete if Known

Application Number 10/827,010

Filing Date 4/19/2004

First Named Inventor KENT CHRISTIAN JOHNSON

Art Unit UNKNOWN

(Use as many sheets as necessary)

Location Number 10/827,010

Filing Date 4/19/2004

First Named Inventor UNKNOWN

UNKNOWN

of

Attorney Docket Number

| Examiner | Cite | Document Number | U. S. PATENT Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant |
|-----------|----------|---|-------------------------------|--|---|
| Initials* | No.1 | Number-Kind Code ^{2 (# known)} | MM-DD-YYYY | Applicant of Cited Document | Figures Appear |
| | | ^{US-} 5348841 | 9/20/1994 | TAO ET AL | |
| | | ^{US-} 5364274 | 11/15/1994 | SEKIGUCHI | |
| | | ^{US-} 5494445 | 2/27/1996 | SEKIGUCHI ET AL | |
| | | ^{US-} 5695346 | 12/9/1997 | SEKIGUCHI ET AL | |
| | | US- 5967032 | 10/19/1999 | BRAVENEC ET AL | |
| <u> </u> | | US- 6251566 B | 6/26/2001 | BROSH ET AL | |
| | | US- 6398022 B | 6/4/2002 | MOU ET AL | |
| | <u> </u> | US- 6424467 BI | 7/23/2002 | GOGGINS | |
| | | ^{US-} 6490092 B) | 12/3/2002 | GOGGINS | |
| | | US- 6490093 BZ | 12/3/2002 | GUEST | |
| | | US- 6635196 B | 10/21/2003 | GOGGINS | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|-----------------------|--------------------------|---|--------------------------------|--|---|----------------|--|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document Country Code 3-Number 4-Kind Code 5 (If known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ | | | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Substitute for form 1449B/PTO | | Application Number | 10/827.010 | | |
| INFORMATION | 1 DIS | CLOSURE | | 4/19/2004 | |
| STATEMENT BY APPLICANT | | First Named Inventor | Kent Christian Johnson | | |
| | | Group Art Unit | Unknown | | |
| (use as many | sheets a | s necessary) | Examiner Name | Unknown | |
| Sheet 1 | of | 0 | Attorney Docket Number | APMED-001A | |

| | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | | | | | |
|--------------------|---|---|----|--|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | | |
| | | SHARPLESS, GRAHAM; "CD AND DVD DISC MANUFACTURING," July 30, 2003, pgs. 1-23, Deluxe Global Media Services Ltd., West Sussex, United Kingdom | | | | |
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| Examiner | Date | |
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wbrucker@stetinalaw.com

May 26, 2004

Mr. Christian Johnson 615 Iris Avenue Corona del Mar, CA 92625

RE: Patent Application

For: OPTICAL DISC HAVING LENTICULAR SURFACE AND

METHOD OF MANUFACTURING

Application No.: 10/827,010 Filing Date: April 19, 2004

Our Ref.: APMED-001A

Dear Christian:

Enclosed please find copies of the Information Disclosure Statement (IDS) documents that were recently filed with the Patent and Trademark Office on behalf of Apparition Media LLC. with respect to the above identified patent application.

Should you have any questions regarding the enclosed, please do not hesitate to contact me.

Very truly yours,

STETINA BRUNDA GARRED & BRUCKER

William J. Brucker

WJB/bdh Enclosures